INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

APR 1'1 2005

Application No. 10/081,712

Filing Date February 21, 2002

First Named Inventor DeVore et al.

Art Unit 3731

Examiner Dawson, Glenn

SPIRTN.021A

Attorney Docket No.

**U.S. PATENT DOCUMENTS** 

(Multiple sheets used when necessary)
SHEET 1 OF 10

**Document Number** Pages, Columns, Lines Where Cite Publication Date Examiner Number - Kind Code (if known) Name of Patentee or Applicant Relevant Passages or Relevant Initials No. MM-DD-YYYY Example: 1,234,567 B1 Figures Appear (o T)2,981,254 04/25/61 Vanderbilt Modin-Uddin 2 11/17/70 3,540,431 3,657,744 04/25/72 Erşek 3,760,808 11/17/70 Bleuer 4 5 3,788,327 01/29/74 Donowitz et al. 3,874,388 02/12/73 King et al. 6 7 4,014,318 03/29/77 Dockum et al. 05/02/78 **Poirier** 8 4,086,665 9 4,212,463 07/15/80 Repinski et al. 10 02/17/81 4,250,873 **Bonnet** 4,302,854 12/01/81 Runge 12 4,681,110 07/21/87 Wiktor 13 4,710,192 12/01/87 Liotta et al. 14 03/01/88 Mobin-Uddin 4,727,873 03/22/88 4,732,152 Wallsten et al. 15 16 4,759,758 07/26/88 Gabbay 17 4,795,449 01/03/89 Schneider et al. 02/28/89 Panje 18 4,808,183 19 04/11/89 4,819,664 Nazari 20 4,830,003 05/16/89 Wolff et al. 21 4,832,680 05/23/89 Haber et al. 22 07/11/89 Reich 4,846,836 23 07/25/89 Planck 4,850,999 24 4,852,568 08/01/89 Kensey 10/31/89 25 4,877,025 Hanson 26 06/19/90 Bader 4,934,999 27 11/06/90 4,968,294 Salama 28 5,061,274 10/29/91 Kensey 05/26/92 Pinchuk et al. 29 5,116,360

Examiner Signature Date Considered 6.23.07

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(Multiple sheets used when necessary)
SHEET 2 OF 10

			U.S. PATENT	DOCUMENTS	
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
61	30	5,116,564	05/26/92	Jansen et al.	
	31	5,123,919	06/23/92	Sauter et al.	
	32	5,151,105	09/29/92	Kwan-Gett	·
	33	5,158,548	10/27/92	Lau et al.	
	34	5,161,524	11/10/92	Evans	
1	35	5,304,199	04/19/94	Myers	
	36	5,306,234	04/26/94	Johnson	
	37	5,314,473	05/24/94	Godin	
	38	5,352,240	10/04/94	Ross	
	39	5,358,518	10/25/94	Camilli	
	40	5,366,478	11/22/94	Brinkerhoff et al.	
	41	5,382,261	01/17/95	Palmaz	
	42	5,392,775	02/28/95	Adkins Jr. et al.	
	43	5,409,019	04/25/95	Wilk	
	44	5,411,507	05/02/95	Heckele	
	45	5,411,552	05/02/95	Andersen et al.	
i	46	5,413,599	05/02/95	Imachi et al.	
	47	5,417,226	05/23/95	Juma	
	48	5,445,626	08/29/95	Gigante	
	49	5,453,090	09/26/95	Martinez et al.	
	50	5,486,154	01/23/96	Kelleher	
	51	5,499,995	03/19/96	Teirstein	
	52	5,500,014	03/19/96	Quijano et al.	
	53	5,507,754	04/16/96	Green et al.	
	54	5,549,626	08/27/96	Miller et al.	
	55	5,549,628	08/24/96	Cooper et al.	
	56	5,562,608	10/08/96	Sekins et al.	
<u> </u>	57	5,603,698	02/18/97	Roberts et al.	
	58	5,645,565	07/08/97	Rudd et al.	

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			U.S. PATENT	DOCUMENTS	
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
(24	59	5,660,175	08/26/97	Dayal	
	60	5,662,713	09/02/97	Andersen et al.	
	61	5,683,451	11/04/97	Lenker et al.	
	62	5,693,089	12/02/97	Inoue	
	63	5,697,968	12/16/97	Rogers et al.	
	64	5,702,409	12/30/97	Rayburn et al.	
	65	5,725,519	03/10/98	Penner	
	66	5,752,965	05/19/98	Francis et al.	
	67	5,755,770	05/26/98	Ravenscroft	
	68	5,797,960	08/25/98	Stevens et al.	
-	69	5,800,339	09/01/98	Salama	,
	70	5,803,078	09/08/98	Brauner	
	71	5,840,081	11/24/98	Andersen et al.	
	72	5,851,232	12/22/98	Lois	
	73	5,855,587	01/05/99	Hyon et al.	
	74	5,855,597	01/05/99	Jayaraman	
	75	5,855,601	01/05/99	Bessler et al.	
	76	5,944,738	08/31/99	Amplatz et al.	·
	77	5,947,997	09/04/99	Pavcnik et al.	
	78	5,954,766	09/21/99	Zadno-Azizi et al.	
	79	5,957,949	09/28/99	Leonhardt et al.	
	80	5,972,009	10/26/99	Fortier et al.	
	81	5,976,158	11/02/99	Adams et al.	
	82	5,976,174	11/16/99	Ruiz	
	83	5,984,965	11/16/99	Knapp et al.	
	84	6,003,517	12/21/99	Sheffield et al.	
	85	6,007,575	12/28/99	Samuels	
	86	6,009,614	01/04/00	Morales	
	87	6,010,525	01/04/00	Bonutti et al.	

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			U.S. PATENT	DOCUMENTS	
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
04	88	6,020,380	02/01/00	Killian	
	89	6,027,525	02/22/00	Suh et al.	
	90	6,045,560	04/04/00	McKean et al.	
	91	6,051,022	04/18/00	Cai et al.	
	92	6,068,635	05/30/00	Gianotti	
	93	6,068,638	05/30/00	Makower	
	94	6,077,291	06/20/00	Das	
	95	6,083,255	07/04/00	Laufer et al.	
	96	6,096,027	08/01/00	Layne	
	97	6,099,551	08/08/00	Gabbay	
	98	6,123,663	09/26/00	Rebuffat	
	99	6,135,729	10/24/00	Aber	
	100	6,135,991	10/24/00	Muni et al.	·
	101	6,141,855	11/07/00	Morales	
	102	6,149,664	11/21/00	Kurz	
	103	6,162,245	12/19/00	Jayaraman	
	104	6,165,179	12/26/00	Cathcart et al.	
	105	6,168,614	01/02/01	Andersen et al.	
	106	6,174,323	01/16/01	Briggs	
	107	6,183,520	02/06/01	Pintauro et al.	
	108	6,200,333	03/13/01	Laufer	
	109	6,206,918	03/27/01	Campbell et al.	
	110	6,234,996	05/22/01	Bagaoisan et al.	
	111	6,238,334	05/29/01	Easterbrook	
	112	6,240,615	06/05/01	Kimes et al.	
	113	6,245,102	06/12/01	Jayaraman	
	114	6,267,775	07/03/01	Clerc et al.	
	115	6,270,527	08/07/01	Campbell et al.	
	116	6,287,290	09/11/01	Perkins et al.	

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			U.S. PATENT	DOCUMENTS	
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevan Figures Appear
61	117	6,287,334	09/11/01	Moll et al.	
	118	6,293,951	09/25/01	Alfemess et al.	
	119	6,302,893	10/16/01	Limon et al.	
	120	6,312,407	11/06/01	Zadno-Azizi et al.	
	121	6,325,777	12/04/01	Zadno-Azizi et al.	
	122	6,325,778	12/04/01	Zadno-Azizi et al.	
	123	6,327,772	12/11/01	Zadno-Azizi et al.	
	124	6,328,689	12/11/01	Gonzalez et al.	
	125	6,355,014	03/12/02	Zadno-Azizi et al.	
	126	6,398,775	06/04/02	Perkins et al.	
	127	6,402,754	06/11/02	Gonzalez	
	128	6,416,554	07/09/02	Alferness et al.	
	129	6,428,561	08/06/02	Johansson-Ruden et al.	
	130	6,439,233	08/27/02	Geertsema	
	131	6,447,530	09/10/02	Ostrovsky	
	132	6,458,076	10/01/02	Pruitt	
	133	6,485,407	11/26/02	Alferness et al.	
	134	6,488,673	12/03/02	Laufer	
	135	6,491,706	12/10/02	Alfemess et al.	
	136	6,493,589	12/10/02	Medhkour et al.	
,	137	6,503,272	01/07/03	Duerig et al.	
	138	6,510,846	01/28/03	O*Rourke	
	139	6,514,290	02/04/03	Loomas	
	140	6,527,761	03/04/03	Soltesz et al.	
	141	6,558,429	05/06/03	Taylor	
	142	6,568,387	05/27/03	Davenport et al.	
	143	6,569,166	02/27/03	Gonzalez'	
	144	6,585,639	07/01/03	Kotmel et al.	
	145	6,592,594	07/15/03	Rimbaugh et al.	

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Examiner Initials	Cite No.	Document Number  Number - Kind Code (if known)  Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
101	146	6,599,311	07/29/03	Biggs et al.	·
1	147	6,610,043	08/26/03	Ingenito	
	148	6,629,951	10/07/03	Laufer et al.	
	149	6,634,363	10/21/03	Danek et al.	
	150	6,638,285	10/28/03	Gabbay	
	151	6,679,264	01/20/04	Deem et al.	
	152	6,682,250	01/27/04	Ingenito	
	153	6,694,979	02/24/04	Deem et al.	
'	154	6,709,401	03/23/04	Perkins et al.	
	155	6,712,812	03/30/04	Roschak et al.	
	156	6,722,360	04/20/04	Doshi	
	157	6,743,259	06/01/04	Ginn	
	158	6,749,606	06/15/04	Keast et al.	
	159	2002/0077696	06/20/02	Zadno-Azizi et al.	
	160	2002/0095209	07/18/02	Zadno-Azizi et al.	
	161	2002/0111620	08/15/02	Cooper et al.	
	162	2002/0062120	05/23/02	Perkins et al.	·
	163	2002/0077593	06/20/02	Perkins et al.	
	164	2003/0018327	01/23/03	Truckai et al.	
	165	2003/0018344	01/23/03	Kaji et al.	
	166	2003/0050648	03/13/03	Alferness et al.	
	167	2003/0051733	03/20/03	Kotmel et al.	
	168	2003/0055331	03/20/03	Kotmel et al.	
	169	2003/070682	04/17/03	Wilson et al.	
	170	2003/0127090	07/10/03	Gifford et al.	
	171	2003/0158515	08/21/03	Gonzalez et al.	
	172	2003/0167065	09/04/03	Kumar	
	173	2003/0228344	12/11/03	Fields et al.	
	174	2004/0039250	02/26/04	Tholfsen et al.	

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			U.S. PATENT	DOCUMENTS	
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61	175	10/847,554	05/17/04	Alferness et al.	Copending Application
	176	10/847,427	05/17/04	Alferness et al.	Copending Application
	177	6,860,847	03/01/05	Alferness et al.	Copending Application
	178	2003/0050648	03/13/03	Alferness et al.	Copending Application
	179	2003/0195385	10/16/03	DeVore	Copending Application
	180	2003/0212412	11/13/03	Dillard et al.	Copending Application
	181	2003/0216769	11/20/03	Dillard et al.	Copending Application
	182	2003/0154988	08/21/03	DeVore	Copending Application
1	183	2003/0158515	08/21/03	Gonzalez et al.	Copending Application
	184	2003/0183235	10/02/03	Rimbaugh et al.	Copending Application
	185	2003/0180922	09/25/03	Alferness	Copending Application
	186	2004/0243140	12/02/04	Alferness et al.	Copending Application
	187	2005/0033310	02/10/05	Alferness et al.	Copending Application

				FOREIGN PATI	ENT DOCUMENTS		
Examir Initial			Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T¹
(21)	11	88	EP 1151729	11/28/01	Sabanthan		
	18	89	RU 2140211	10/27/99	Ivanov et al. (includes English abstract)		Х
•	19	90	SU 852321	07/08/81	Vyacheslav et al. (includes English abstract)		Х
	19	31	GB 324 729	11/04/98	Bradford Hospitals NHS Trust		
	19	92	PCT/US98/18366	09/04/98	Zadno-Azizi et al.		
	19	93	WO 94/26175	11/24/94	Murphy et al.	·	
	19	94	WO 95/32018	11/30/95	Teirstein		
	19	95	WO 96/34582	11/07/96	Chaussy et al.		
	19	96	WO 97/44085	11/27/97	Zadno-Azizi et al.		
(	19	97	WO 98/00840	01/08/98	Lee et al.		

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		·	FOREIGN PATI	ENT DOCUMENTS		
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(01	198	WO 98/19633	05/14/98	Bagaoisan et al.		- <u>-</u> -
7	199	WO 98/39047	09/11/98	Bagaoisan et al.		
7	200	WO 98/44854	10/15/98	Laufer		
	201	WO 99/01076	01/14/99	Laufer		
1	202	WO 99/13801	03/25/99	Marano-Ford		
	203	WO 99/26692	06/03/99	Zadno-Azizi et al.	·	
	204	WO 99/32040	07/01/99	Laufer et al.		
	205	WO 99/42059	08/26/99	Zadno-Azizi et al.		
	206	WO 99/42161	08/26/99	Zadno-Azizi et al.		
	207	WO 99/64109	12/16/99	Laufer		
	208	WO 00/42950	07/27/00	Carpentier et al.		
	209	WO 00/51510	09/08/00	Laufer et al.		
	210	WO 00/62699	10/26/00	Danek et al.		
	211	WO 00/78386	12/28/00	Bagaoisan et al.		
	212	WO 00/78407	12/08/00	Jarvis et al.		
	213	WO 01/02042	01/11/01	Perkins et al.		
	214	WO 01/13908	03/01/01	Ingenito		
	215	WO 01/03642	01/18/01	Laufer et al.		
1	216	WO 01/52775	07/26/01	Wittens		
	217	WO 01/05334	01/25/01	Moe et al.		
	218	WO 01/66190	09/13/01	Deem et al.		
	219	WO 01/87170	11/22/01	Shaw		
	220	WO 01/10313	02/15/01	Nash et al.		
	221	WO 01/10314	02/15/01	Cooper et al.		
	222	WO 01/12104	02/22/01	Zadno-Azizi		
	223	WO 01/13839	03/01/01	Alferness et al.		
	224	WO 01/28433	04/26/01	Gonzalez et al.		
	225	WO 01/45590	06/28/01	Zadno-Azizi et al.		
	226	WO 01/49213	07/12/01	Bailey et al.	·	

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FOREIGN PATENT DOCUMENTS Foreign Patent Document **Publication** Pages, Columns, Lines Cite Name of Patentee or Examiner Country Code-Number-Kind Code Where Relevant Passages or T1 Date Initials No. **Applicant** MM-DD-YYYY Example: JP 1234567 A1 Relevant Figures Appear 227 WO 01/54585 08/02/01 Biggs et al. 228 WO 01/54625 08/02/01 Obermiller et al. 229 08/02/01 Zhou et al. WO 01/54685 WO 01/74271 10/11/01 Loomas 230 231 WO 01/89366 11/29/01 Biggs et al. 232 WO 01/95786 12/20/01 Doshi 233 WO 02/22072 03/21/02 Mair et al. WO 02/038038 05/16/02 Soltesz 234 235 WO 02/056794 07/25/02 Ingenito et al. WO 02/05884 01/24/02 236 Davenport et al. 237 WO 02/064045 08/22/02 Roschak et al. 08/22/02 238 WO 02/064190 Cooper et al. 09/12/02 239 WO 02/069823 Keast et al. 240 11/28/02 Kotmel et al. WO 02/094087 WO 02/32333 04/25/02 Biggs et al. 241 242 WO 02/34322 05/02/02 O'Rourke WO 02/47575 06/20/02 243 Kuehne 244 WO 03/022124 03/20/03 Alferness et al. 245 WO 03/030975 04/17/03 Wilson et al. 05/01/03 Rimbaugh et al. 246 WO 03/034927 247 WO 03/041779 05/22/03 Gifford et al. 06/12/03 248 WO 03/047468 Spenser et al. 09/25/03 249 WO 03/078579 Ingenito 250 WO 03/088820 10/30/03 Biggs et al. 251 WO 03/099164 12/04/03 Fields et al.

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INFÖRMATION DISCLOSURE

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	· 10/08/00 Equivalent
Application No.	10/081,712
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Attorney Docket No.	SPIRTN.021A

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
(0/) 253		Article: Autocath 100 -Nonsurgical, Intraurethral Bladder Control Device for Incontinent and Retentive Women - Dr. Kulisz's Development	
	254	Dillard et al., Evaluation of a Novel Intra-bronchial Valve Device to Produce Lunch Volume Reduction,, Poster shown at conf in June 2002	
	255	EWS Endobronchial Watanabe Spigots, Novatech, edited April 17, 2002	·
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	257	Horiuchi et al., Three Cases of Intractable Pneumothorax Treated Successfully by Bronchial Embolization using Silicon, JJSB, 2001. pp. 25-30	
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	262	Okada et al., Emergent Bronchofiberoptic Bronchial Occlusion for Intractable Pneumothorax with Severe Emphysema; The Japanese Journal of Thoracic and Cardiovascular Surgery, 1998, pp. 1078-1081	
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•	264	Snider et al., The Definition of Emphysema: Report of the National Heart Lung and Blood Institute, Division of Lung Diseases Workshop, Am. Rev. Respir. Dis., 132:182-185, 1985	
•	265	Watanabe et al., Bronchial Embolization Using Dental Impression Material in a Case of Pyelo- bronchial Fistula with Candida Fungemia; 1991, Journal of Japan Society for Bronchology, pp. 607- 610	

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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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